CS3383 Lecture 1.1: The Master Theorem with applications

David Bremner

January 23, 2024



▲□▶▲圖▶▲≣▶▲≣▶ ■ の�?

Outline

Divide and Conquer Continued The Master Theorem Matrix Multiplication

◆□ > ◆□ > ◆ Ξ > ◆ Ξ > → Ξ = の < @

The Master Theorem

If \exists constants b > 0, s > 1 and $d \ge 0$ such that $T(n) = b \cdot T(\lceil \frac{n}{s} \rceil) + \Theta(n^d)$, then

(Simplified from Theorem 4.1 in CLRS4; this is closer to the Roughgarden version)

The Master Theorem

If \exists constants b > 0, s > 1 and $d \ge 0$ such that $T(n) = b \cdot T(\lceil \frac{n}{s} \rceil) + \Theta(n^d)$, then

$$T(n) = \left\{ \begin{array}{ll} \Theta(n^d) & \text{if } d > log_s b \ (\text{equiv. to } b < s^d) \\ \Theta(n^d \log n) & \text{if } d = log_s b \ (\text{equiv. to } b = s^d) \\ \Theta(n^{\log_s b}) & \text{if } d < log_s b \ (\text{equiv. to } b > s^d) \end{array} \right.$$

(Simplified from Theorem 4.1 in CLRS4; this is closer to the Roughgarden version)

Master theorem, in pictures



We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●

The height of our recursion tree is $\log_s n$.

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●

The height of our recursion tree is $\log_s n$. At level *i* of the recursion tree (counting from 0) we have:

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

The height of our recursion tree is $\log_s n$. At level *i* of the recursion tree (counting from 0) we have:

$$\blacktriangleright$$
 the size of the data = $\frac{n}{s^i}$

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

・ロト ・日 ・ モー・ モー・ 日 ・ つへの

The height of our recursion tree is $\log_s n$. At level *i* of the recursion tree (counting from 0) we have:

 \blacktriangleright the size of the data = $\frac{n}{s^i}$

• the time for the combine step = $c \cdot \left(\frac{n}{s^i}\right)^d$

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

The height of our recursion tree is $\log_s n$. At level *i* of the recursion tree (counting from 0) we have:

- \blacktriangleright the size of the data = $\frac{n}{s^i}$
- the time for the combine step = $c \cdot \left(\frac{n}{s^i}\right)^d$

 \blacktriangleright the number of recursive instantiations $= b^i$

We assume w.l.o.g. n is an integer power of s. (If not, then what do we do?)

The height of our recursion tree is $\log_s n$. At level *i* of the recursion tree (counting from 0) we have:

- the size of the data = $\frac{n}{s^i}$
- the time for the combine step $= c \cdot \left(\frac{n}{s^i}\right)^d$

 \blacktriangleright the number of recursive instantiations $= b^i$

And so

$$T(n) = \sum_{i=0}^{\log_s n} c \cdot \left(\frac{n}{s^i}\right)^d \cdot b^i$$

Proof of Master theorem, $b = s^d$

$$T(n) = \sum_{i=0}^{\log_s n} c \cdot \left(\frac{n^d}{\left(s^i\right)^d}\right) \cdot b^i \ = \ c \cdot n^d \cdot \left(\sum_{i=0}^{\log_s n} \left(\frac{b}{s^d}\right)^i\right)$$

Proof of Master theorem, $b = s^d$

$$T(n) = \sum_{i=0}^{\log_s n} c \cdot \left(\frac{n^d}{(s^i)^d}\right) \cdot b^i = c \cdot n^d \cdot \left(\sum_{i=0}^{\log_s n} \left(\frac{b}{s^d}\right)^i\right)$$

If $b = s^d$, then

$$T(n) = c \cdot n^d \cdot \left(\sum_{i=0}^{\log_s n} 1\right) \ = \ c \cdot n^d \log_s n$$

◆□▶ ◆□▶ ◆目▶ ◆目▶ ◆□▶

Proof of Master theorem, $b = s^d$

$$T(n) = \sum_{i=0}^{\log_s n} c \cdot \left(\frac{n^d}{(s^i)^d}\right) \cdot b^i = c \cdot n^d \cdot \left(\sum_{i=0}^{\log_s n} \left(\frac{b}{s^d}\right)^i\right)$$

If $b = s^d$, then

$$T(n) = c \cdot n^d \cdot \left(\sum_{i=0}^{\log_s n} 1\right) \ = \ c \cdot n^d \log_s n$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ の00

so T(n) is $\Theta(n^d \log n)$.

$$T(n) = c \cdot n^d \cdot \left(\frac{\left(\frac{b}{s^d}\right)^{\log_s n+1} - 1}{\frac{b}{s^d} - 1}\right)$$

$$T(n) = c \cdot n^d \cdot \left(\frac{\left(\frac{b}{s^d}\right)^{\log_s n+1} - 1}{\frac{b}{s^d} - 1}\right)$$

Applying $\frac{1}{b/\Box - 1} = \frac{\Box}{b - \Box}$

・ロト・西・・西・・ 日・ うらう

$$T(n) = c \cdot n^d \cdot \left(\frac{\left(\frac{b}{s^d}\right)^{\log_s n+1} - 1}{\frac{b}{s^d} - 1}\right)$$

$$\begin{split} \text{Applying } \frac{1}{b/\Box - 1} &= \frac{\Box}{b - \Box} \\ T(n) &= \frac{s^d}{b - s^d} \cdot c \cdot n^d \cdot \left(\left(\frac{b}{s^d} \right)^{\log_s n + 1} - 1 \right) \end{split}$$

◆□ > ◆母 > ◆臣 > ◆臣 > ○日 ● ○○○

$$T(n) = c \cdot n^d \cdot \left(\frac{\left(\frac{b}{s^d}\right)^{\log_s n+1} - 1}{\frac{b}{s^d} - 1}\right)$$

Applying
$$\frac{1}{b/\Box - 1} = \frac{\Box}{b - \Box}$$

$$T(n) = \frac{s^d}{b - s^d} \cdot c \cdot n^d \cdot \left(\left(\frac{b}{s^d} \right)^{\log_s n + 1} - 1 \right)$$

$$= \frac{s^d}{b - s^d} \cdot c \cdot n^d \cdot \left(\frac{b}{s^d} \right)^{\log_s n + 1} - \frac{s^d}{b - s^d} \cdot c \cdot n^d$$

Proof of Master Theorem $b \neq s^d$ (2 of 2) From rules of powers and logarithms:

$$\left(\frac{b}{s^d}\right)^{\log_s n+1} = \frac{b}{s^d} \cdot \left(\frac{b}{s^d}\right)^{\log_s n} = \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{(s^d)^{\log_s n}}$$

Proof of Master Theorem $b \neq s^d$ (2 of 2) From rules of powers and logarithms:

$$\left(\frac{b}{s^d}\right)^{\log_s n+1} = \frac{b}{s^d} \cdot \left(\frac{b}{s^d}\right)^{\log_s n} = \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{(s^d)^{\log_s n}}$$
$$= \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{n^d} = b \cdot \frac{n^{\log_s b}}{s^d n^d}$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□▶

Proof of Master Theorem $b \neq s^d$ (2 of 2) From rules of powers and logarithms:

$$\left(\frac{b}{s^d}\right)^{\log_s n+1} = \frac{b}{s^d} \cdot \left(\frac{b}{s^d}\right)^{\log_s n} = \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{(s^d)^{\log_s n}}$$
$$= \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{n^d} = b \cdot \frac{n^{\log_s b}}{s^d n^d}$$

$$T(n) = \frac{s^d n^d}{b - s^d} \cdot c \cdot \left(\frac{b}{s^d}\right)^{\log_s n + 1} - \frac{s^d}{b - s^d} \cdot c \cdot n^d$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 - のへで

Proof of Master Theorem $b \neq s^d$ (2 of 2) From rules of powers and logarithms:

$$\left(\frac{b}{s^d}\right)^{\log_s n+1} = \frac{b}{s^d} \cdot \left(\frac{b}{s^d}\right)^{\log_s n} = \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{(s^d)^{\log_s n}}$$
$$= \frac{b}{s^d} \cdot \frac{b^{\log_s n}}{n^d} = b \cdot \frac{n^{\log_s b}}{s^d n^d}$$

$$T(n) = \frac{s^d n^d}{b - s^d} \cdot c \cdot \left(\frac{b}{s^d}\right)^{\log_s n + 1} - \frac{s^d}{b - s^d} \cdot c \cdot n^d$$

$$= \frac{b}{b-s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b-s^d} \cdot c \cdot n^d$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ ○○ ◆○▶

Branching versus subproblem size 1/2

$$T(n) = \frac{b}{b - s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b - s^d} \cdot c \cdot n^d$$

Now we need to test b versus s^d .

Branching versus subproblem size 1/2

$$T(n) = \frac{b}{b-s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b-s^d} \cdot c \cdot n^d$$

Now we need to test b versus s^d .

If $b > s^d$ ($\log_s b > d$), first term dominates: $T(n) = c_2 n^{\log_s b} - c_3 n^d \qquad (c_2 > c_3 > 0)$ $\leq c_2 n^{\log_s b} \qquad (0)$ $\geq (c_2 - c_3) n^{\log_s b} \qquad (\Omega)$

▲□▶▲圖▶▲≣▶▲≣▶ ≣ めぬぐ

Branching versus subproblem size 2/2

$$T(n) = \frac{b}{b-s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b-s^d} \cdot c \cdot n^d$$

Now we need to test b versus s^d .

Branching versus subproblem size 2/2

$$T(n) = \frac{b}{b-s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b-s^d} \cdot c \cdot n^d$$

Now we need to test b versus s^d .

If
$$b < s^d$$
 (log_s $b < d$), then
$$T(n) = \frac{s^d}{s^d - b} \cdot c \cdot n^d - \frac{b}{s^d - b} \cdot c \cdot n^{\log_s b}$$

Branching versus subproblem size 2/2

$$T(n) = \frac{b}{b-s^d} \cdot c \cdot n^{\log_s b} - \frac{s^d}{b-s^d} \cdot c \cdot n^d$$

Now we need to test b versus s^d .

If
$$b < s^d$$
 $(\log_s b < d)$, then

$$T(n) = \frac{s^d}{s^d - b} \cdot c \cdot n^d - \frac{b}{s^d - b} \cdot c \cdot n^{\log_s b}$$

new first term dominates, same argument: $\Theta(n^d)$.

Matrix Multiplication

The product of two $n \times n$ matrices X and Y is a third $n \times n$ matrix Z = XY, with

$$Z_{ij} = \sum_{k=1}^{n} X_{ik} Y_{kj}$$

where Z_{ij} is the entry in row *i* and column *j* of matrix *Z*.



・ロト・西ト・西ト・西・ うくの

Decompose the input matrices into four blocks each

Decompose the input matrices into four blocks each

$$X = \left[\begin{array}{cc} A & B \\ C & D \end{array} \right], \qquad Y = \left[\begin{array}{cc} E & F \\ G & H \end{array} \right]$$

Decompose the input matrices into four blocks each

$$X = \left[\begin{array}{cc} A & B \\ C & D \end{array} \right], \qquad Y = \left[\begin{array}{cc} E & F \\ G & H \end{array} \right]$$

$$XY = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} E & F \\ G & H \end{bmatrix}$$
$$= \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

Decompose the input matrices into four blocks each

$$X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}, \quad Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}$$
$$XY = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} E & F \\ G & H \end{bmatrix}$$
$$= \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

8 subinstances AE, BG, AF, BH, CE, DG, CF, DH

8 subinstances of dimension $\frac{n}{2}$, and taking cn^2 time to add the results:

$$T(n) = 8 \cdot T\left(\frac{n}{2}\right) + cn^2$$

8 subinstances of dimension $\frac{n}{2}$, and taking cn^2 time to add the results:

$$T(n) = 8 \cdot T\left(\frac{n}{2}\right) + cn^2$$

Master Theorem (and $\log_2 8 = 3 > 2$) yields

$$T(n)\in \Theta(n^{\log_2 8}) \ = \ \Theta(n^3)$$

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●

8 subinstances of dimension $\frac{n}{2}$, and taking cn^2 time to add the results:

$$T(n) = 8 \cdot T\left(\frac{n}{2}\right) + cn^2$$

As with integer mult., naive split does not improve running time.

Master Theorem (and $\log_2 8 = 3 > 2$) yields

$$T(n) \in \Theta(n^{\log_2 8}) \ = \ \Theta(n^3)$$

As with integers, we find we need a decomposition that reuses results.

As with integers, we find we need a decomposition that reuses results. Strassen found such a decomposition:

$$XY = \left[\begin{array}{cc} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{array} \right]$$

As with integers, we find we need a decomposition that reuses results. Strassen found such a decomposition:

$$XY = \left[\begin{array}{cc} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{array} \right]$$

where

$$\begin{array}{ll} P_1 = A(F-H) & P_5 = (A+D)(E+H) \\ P_2 = (A+B)H & P_6 = (B-D)(G+H) \\ P_3 = (C+D)E & P_7 = (A-C)(E+F) \\ P_4 = D(G-E) & \end{array}$$

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●

This looks complicated, but in saving one recursive call, we get a time recurrence of

$$T(n) = 7 \cdot T\left(\frac{n}{2}\right) + cn^2$$

This looks complicated, but in saving one recursive call, we get a time recurrence of

$$T(n) = 7 \cdot T\left(\frac{n}{2}\right) + cn^2$$

Master Theorem (with $\log_2 7 > \log_2 4 = 2$) shows

$$T(n)\in \Theta(n^{\log_2 7})\subset \Theta(n^{2.81})$$

▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ ▲国 ● ● ●

This looks complicated, but in saving one recursive call, we get a time recurrence of

$$T(n) = 7 \cdot T\left(\frac{n}{2}\right) + cn^2$$

input size is $m = n^2$, time is $\Theta(m^{1.404})$ time (vs $\Theta(m^{1.5})$).

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ─ 臣 ─ のへぐ

Master Theorem (with $\log_2 7 > \log_2 4 = 2)$ shows

$$T(n)\in \Theta(n^{\log_2 7})\subset \Theta(n^{2.81})$$