# CS3383 Unit 2.4: Union Find Path Compression

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#### Outline

#### Union Find Path Compression Path Compression Analysis

#### "Memoizing" the find routine

```
def find(P, key):
  while P.parent[key] != key:
    key = P.parent[key]
  return key
```

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def find(P, key):
    if P.parent[key] != key:
        P.parent[key] = \
            P.find(P.parent[key])
    return P.parent[key]
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## Find example



## Find example





After find(8)

find(8), find(10)



# find(8), find(10)



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#### Rank ordering is maintained

#### Property 1

For any x such that  $parent(x) \neq x$ , rank(x) < rank(parent(x))



## Rank ordering is maintained

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For any x such that  $\operatorname{parent}(x) \neq x$ ,  $\operatorname{rank}(x) < \operatorname{rank}(\operatorname{parent}(x))$ 

#### Shortcuts preserve order



#### Size of trees is preserved, but not subtrees.

Property 2'

Any root node of rank k has at least  $2^k$  nodes in its subtree.



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Proof of property 2'.

Induction: Base case is k = 0. Roots of rank k are made from two rank k - 1 roots.



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## Union+Find Example 1/

# initial partition $\begin{array}{c|c} 0 \\ 0 \\ 0 \end{array} \begin{pmatrix} 1 \\ 0 \\ 0 \end{array} \begin{pmatrix} 2 \\ 0 \\ 0 \end{array} \begin{pmatrix} 3 \\ 0 \\ 0 \end{array} \begin{pmatrix} 4 \\ 0 \\ 0 \end{array} \begin{pmatrix} 5 \\ 0 \end{pmatrix}$

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# Union+Find Example 1/



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## Union+Find Example 3/





## Union+Find Example 4/





## Union+Find Example 5/





#### Not too many nodes of rank k

#### Property 3

If there are n elements, there are at most  $\lfloor n/2^k \rfloor$  nodes of rank k.

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#### Not too many nodes of rank $\boldsymbol{k}$

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- When a node gets rank k > 0, it is a root, and has 2<sup>k</sup> descendents.
- Those descendents are never used to make another node rank k. (non-roots stay non-roots).

#### Rank intervals

 $\blacktriangleright$  We divide the numbers [1, n] into  $[k + 1, 2^k]$ 

$$[1,1], [2,2], [3,4], [5,16], \dots, [k+1,2^k]$$

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 $\label{eq:log*} \log^*(n) + 1 \text{ intervals cover } n \\ \log^*(n) = \begin{cases} 1 & \text{if } \log(n) \leq 1 \\ 1 + \log^*(\log(n)) & \text{otherwise} \end{cases}$ 

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each interval get at most n dollars in total
 n(log\* n + 1) dollars over all intervals.

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$$\begin{split} \sum_{i=k+1}^{2^{k}} 2^{-i} &= \frac{1}{2^{k+1}} \sum_{i=0}^{2^{k}-k-1} 2^{-i} \\ &\leq \frac{1}{2^{k+1}} \sum_{i=0}^{\infty} \left(\frac{1}{2}\right)^{i} \\ &= \frac{1}{2^{k+1}} \frac{1}{1-1/2} \qquad \text{G.S.} \end{split}$$

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# Paying for find operations 1/2

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Either rank(parent [key]) is in a later interval than rank[key] or not.  every call does an update
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- Increasing intervals can happen at most log\* n times.
- If in the same interval, we say key pays a dollar back.

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#### No node goes broke

- Each time x pays a dollar, it increases the rank of its parent.
- If rank(x) ∈ [k + 1 ... 2<sup>k</sup>], that can repeat less than 2<sup>k</sup> times before its parent is in a higher interval.



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Once that happens, payments stop.



# Summing up

We can think about the analysis as classifying all of the updates to a given key as "near" or "far", and bounding those in two different ways.

- $\blacktriangleright$  Total cost for n operations
  - $lacksim \leq n \log^* n$  total steps where parent is in next interval
  - $ig > \leq n \log^* n$  total steps where parent is in same interval
- Amortized cost in  $O(\log^* n)$  per operation.